



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt
5900

APPLICANT: MOUNTZ, et al.

FILED: 05/15/98

SERIAL NO.: 09/079,834

FOR: *Fas Ligand Expressing Antigen
Presenting Cells for Tolerance
Induction*

§ ART UNIT: 1644
§
§
§ EXAMINER:
§
§
§ DOCKET: D6005

ASSISTANT COMMISSIONER of PATENTS

Application Processing Division

Customer Correction Branch

Washington DC 20231

ATTENTION: Application Processing Division Customer Correction Branch

REQUEST FOR FILING RECEIPT CORRECTION

Dear Sir:

Applicant hereby requests a correction to the filing receipt of the above-referenced patent application. The filing receipt fails to list the second inventor "TONG ZHOU, BIRMINGHAM, AL" under the applicant section. The correction is noted in red on the enclosed copy. Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,

Benjamin Aaron Adler, Ph. D., J.D.
Registration No. 35,423

Date: 6/15/98

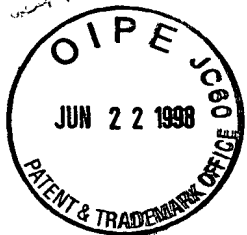
McGregor & Adler, LLP
8011 Candle Lane
Houston, Texas 77071
(713) 777-2321

RECEIVED

JUN 22 1998

GROUP 1800

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/079,834	05/15/98	1644	\$477.00	D6005	15	17	5

BENJAMIN ADLER
MCGREGOR & ADLER
8011 CANDLE LANE
HOUSTON TX 77071

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

JOHN D. MOUNTZ, BIRMINGHAM, AL.; TONG ZHOU, BIRMINGHAM, AL

CONTINUING DATA AS CLAIMED BY APPLICANT-

PROVISIONAL APPLICATION NO. 60/046,560 05/15/97

FOREIGN FILING LICENSE GRANTED 06/10/98

* SMALL ENTITY *

TITLE

FAS LIGAND EXPRESSING ANTIGEN PRESENTING CELLS FOR TOLERANCE
INDUCTION

PRELIMINARY CLASS: 435

RECEIVED
JUN 29 1998
GROUP 1800



2921

Sec for
\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Mountz, *et al.*

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ART UNIT: 1644

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FILED: May 15, 1998

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EXAMINER:

§

SERIAL NO.: 09/079,834

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FOR: Fas Ligand Expressing Antigen

§

Presenting for Tolerance Induction

§

DOCKET: D6005

RESPONSE TO NOTICE TO FILE MISSING PARTS OF AN
APPLICATION AND CERTIFICATE OF MAILING UNDER 37 CFR 1.8

Assistant Commissioner of Patents
BOX MISSING PARTS
Washington, D.C. 20231

Dear Sir:

Responsive to a Notice to File Missing Parts mailed June 11, 1998, Applicants submit herewith a copy of Form PTO-1533, (1) one signed Combined Declaration and Power of Attorney, and a \$65 check for the surcharge. Please credit any overpayment or debit any underpayment of fees to Deposit Account No. 07-1185.

I hereby certify under 37 CFR 1.8 that the following correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to Assistant Commissioner for Patents, Box Missing Parts, Washington DC 20231. Please return the enclosed postcard acknowledging receipt of this correspondence.

Date:

June 16, 1998

Respectfully submitted,

Benjamin Aaron Adler, Ph.D., J.D.

Registration No. 35,423

Agent for Applicant

McGREGOR & ADLER, LLP
8011 Candle Lane
Houston, Texas 77071
(713) 777-2321



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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DATE MAILED:

06/11/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If ~~all~~ required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 65.00.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
- ☐ insufficient.
- Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- ☐ 2. Additional claim fees of \$_____, including any multiple dependent claim fees, are required.
- \$_____ for _____ independent claims over 3.
- \$_____ for _____ dependent claims over 20.
- \$_____ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*
- ☐ 3. The oath or declaration:
- ☐ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.*
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

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